



ALLOCATIONS OPERATING PROCEDURES

ARTICLE I. NAME, DEFINITION, AND FUNCTION

Section 1. Name

- A. The name of the committee shall be the Student Government Association Allocations Committee, hereinafter referred to as “the Committee.”

Section 2. Definition

- A. The Committee is a Student Government Association (SGA) committee that has primary jurisdiction over SGA funds. The Committee also serves as a finance review board and is therefore responsible for reviewing all SGA expenditures. The Director of Finance will be responsible for preparing a semesterly report of all transactions and presenting it to the Committee for expenditure review. The committee is also responsible for updating the operating documents as they pertain to the function of the Allocations Committee on an as-needed basis.

Section 3. Function

- A. The primary function of the Committee is to approve and disapprove of the distribution of funds from the co-sponsorship, conference sponsorship, academic organization, student organization, and career and graduate funds and to establish criteria for the distribution of such funds.
- B. The Committee is also responsible for reviewing the spending of allocated SGA funds and alerting the Senate of any possible mismanagement of those funds.

ARTICLE II. MEMBERSHIP

Section 1. The Committee shall consist of at least five (5) duly sworn Senators, one who shall serve in the capacity of Chair that will be selected and approved before the Summer recess.

Section 2. At least one (1) member must be a Student Organizations Senator and at least one (1) member must be a returning Senator if there are returning members.

Section 3. The SGA Director of Finance shall serve on the Committee as a non-voting, ex-officio member.

ARTICLE III. ADMINISTRATIVE OFFICERS OF THE COMMITTEE AND RESPONSIBILITIES

Section 1. The Chair

- A.** Shall be selected according to Article IV, Section 4 of the SGA Constitution;
- B.** Shall preside at all meetings of the Committee;
- C.** Shall be responsible for assigning a committee member to serve as recorder.
Committee minutes include but are not limited to, attendance, motions and seconds made, voting, discussion points, or other relevant information.
- D.** Shall have the power to call emergency meetings or special sessions of the Committee;
- E.** Shall be responsible for scheduling and distributing agendas and minutes appropriate to each meeting;
- F.** Shall have voting power in the event of a tie and veto power over all Committee legislation;
- G.** Shall be responsible for addressing any issues with SGA Allocation Operating Documents;
- H.** If deemed appropriate by the Chair, the decision of the Allocations Committee shall be brought before Senate for review;
- I.** Shall present all funding requests requiring review by the Senate, invite members of the public related to the request, and answer any applicable Committee questions regarding said funding requests.

Section 2. The Members

- A.** Shall be appointed to the Committee by a simple majority vote of the Senate;
- B.** Shall be responsible for attending all meetings or notifying the chair, in writing, at least twenty-four (24) hours before any allocations meeting if they will not be present;
- C.** Shall be responsible for thoroughly reviewing applications for funding;
- D.** Shall be responsible for abstaining from voting when bias is present;
- E.** Shall be responsible for addressing any issues with the Allocations Operating Documents.

ARTICLE IV. QUORUM AND VOTING

Section 1. The chair and three members of the committee shall constitute a quorum.

Section 2. Proposing and Officializing Decisions

- A.** In order to introduce new business or to propose a decision, a committee member must make a motion;
- B.** A second motion must also be made to officialize the primary motion;
- C.** Any member of the committee has the power to make a motion or a second;

D. When making decisions, the Committee shall adhere to Robert's Rules of Order.

Section 3. A simple majority shall constitute appropriate voting procedures of the Committee meeting which has quorum.

Section 4. The Committee shall have the power to override the vote of the Chair by a three-fourths ($\frac{3}{4}$) vote of its entire membership.

Section 5. Funding requests over \$1,000 must first be approved by a simple majority of the Committee and then require a simple majority approval of the Senate. The Committee may include a recommended amount to fund, but this is not required for presentation to the Senate.

ARTICLE V. MEETINGS

Section 1. The Committee shall meet weekly during the regular semesters, however, it shall not be required to meet during finals week, thanksgiving recess, or spring break. Meeting dates shall be posted on the SGA website.

Section 2. The Committee will meet bi-weekly over the Summer and twice over Interterm for any applicable funding requests.

Section 3. A meeting between the incoming and outgoing Chairs shall take place prior to the first meeting of the Allocations Committee in the Spring to ensure proper exchange of information regarding areas of responsibility.

Section 4. All meetings of the Committee shall be open to any member of the Student Government Association. Any member of the SGA may speak upon recognition but shall not have the right to vote or make motions.

Section 5. Meetings of the Committee shall be held in person. Exceptions can be made during Summer and Interterm meetings.

ARTICLE VI. REQUIREMENTS

Section 1. General Requirements and Regulations

- A.** All funding shall, in some way, benefit undergraduate students;
- B.** All funding requests are subject to review by the university and the use of funds must be in accordance with all university fiscal policies. Approval from the Committee does not necessarily guarantee reimbursement;

- C.** Requests for funding must be received at least two (2) weeks before the event or desired purchase date. Any requests submitted with less than two (2) weeks before the event shall be denied;
- D.** Advertising for any event receiving funds from the Committee shall bear the SGA logo or be otherwise approved by the Director of Public Relations before funding can be granted by the Committee;
- E.** Any student organization that receives funding must be a recognized organization in good standing with the Department of Student Engagement;
- F.** Organizations are required to comply with university policies and regulations. Failure to do so may mean that payment or reimbursement is not possible within university procedure;
- G.** Funds that are granted to an organization and are not used by that organization for the approved event or purpose shall revert back to the fund from which they came;
- H.** The Committee reserves the right to deny any organization financial assistance or funding for any reason;
- I.** When notifying an individual or organization that a funding request has not been approved, the Director of Finance or Committee Chair shall include the Committee's reasoning for not approving the request;
- J.** Undergraduate students who received funding from SGA for recognized events occurring in the Summer after their graduation shall have equal opportunity to be reimbursed for all relevant and approved items.
- K.** Funding requests submitted by members of the Executive Board, Judicial Branch, or the Senate shall automatically be reviewed by the full Senate;
- L.** The Director of Public Relations shall have the authority to waive the sponsorship and logo requirements outlined in the SGA Media Guide upon receiving a written recommendation that this action be taken by the Chair of the Allocations Committee;
- M.** No item funded by the Student Government Association shall be sold or given away without the prior written approval of the Director of Finance and a majority vote of the Allocations Committee or in accordance with Article IV Section 4 of these documents.

Section 2. Requirements and Regulations Related to Specific Funds

- A.** The co-sponsorship fund is limited to university departments and organizations that do not have access to the student organization fund;
- B.** The academic student organization fund is limited to academic organizations and honors societies as defined by the Department of Student Engagement, attendance and participation at academic conferences for groups and individuals, and career and graduate testing;

- C. All students approved and funded for conference scholarships and career and graduate testing must be an enrolled undergraduate student at Chapman University on the date that the funded conference, event, or exam occurs;
- D. Funding for conference travel may not exceed \$750 per student;
- E. For travel approved in the conference scholarship fund and the academic organization fund, the travel must occur in the current fiscal year (between the dates of June 1 - May 31). If travel overlaps two fiscal years, the SGA Advisor(s) and Financial Services must be consulted prior to funds being granted;
- F. Should a recognized student organization request funding for student travel to a conference and the total amount is over \$2,250 or there are more than five (5) members of an organization attending said conference, the funds shall be taken from the academic student organization fund or the general student organization fund as appropriate.

Section 3. Restricted Items and Events

- A. The Committee shall not approve the funding of alcohol;
- B. The Committee shall not approve fundraisers unless the funds raised are to stay in an on-campus student organization account;
- C. The Committee shall not approve funding sent to non-Chapman, non-profit groups, or to any political campaign, candidate, or organization;
- D. Those organizations requesting funding for organizational t-shirts shall be limited to \$18 per item. Those organizations requesting funding for organizational wearable merchandise shall be limited to \$25 per item. Organizational wearable merchandise is included but not limited to, long-sleeve shirts, polos, sweatshirts, sweatpants, hats, etc.;
 - i. Equipment and uniform apparel that maintains the integrity of the organization's purpose will not be included in "wearable merchandise" definitions. Funding requests for such will not be considered with the same restrictions.
- E. No funding shall be provided for any event or activity for which course credit will be received, or for any materials or supplies relating to such an event or activity;
 - i. Funding for activities or events required by an academic curriculum, degree requirement, or a course's stated learning outcome, but for which credit will not be received, should serve only to enhance the student experience. If an event is required by an academic curriculum, degree requirement, or course's stated learning outcome is reliant on SGA funds to occur, SGA shall not provide funds.
- F. No funding shall be provided for any single-use graduation items. These items include but are not limited to, cords, stoles, and medallions.

Section 4. Items and Events Marketed and Sold for Profit

- A.** Any items or events for which a club or department is requesting funds, and for which the funds are intended to be used to generate a profit, shall be subject to the rules and regulations of this section;
- B.** Definitions;
 - i.** A “profit” is any amount raised above cost;
 - ii.** An “event” is any organized gathering on or off of campus;
 - iii.** A “sale” is the exchange of a good or service for any form of consideration
- C.** Applying;
 - i.** An organization must declare that it intends to use SGA funds to generate a profit when the initial application is filed with the Director of Finance.
 - ii.** The organization must provide clear and coherent projections for both the revenues and costs associated with the event or sale of items. These shall include:
 - 1.** Revenue based on some form of metric(s) relevant to the customer base.
 - 2.** Costs based on actual documentation gleaned from the vendor(s) from which the items or event supplies are being purchased.
 - iii.** The organization must provide a clear and concise explanation for how the event or items are relevant to the organization’s stated mission and goals.
 - iv.** The organization must provide the date on which sales will end.
 - v.** The organization is not eligible if they maintain a cash fund or bank account off of Chapman’s campus.
- D.** Purchasing of Items and Reimbursement;
 - i.** SGA will reimburse the cost of the items to be sold, or supplies necessary to put on the event once the organization has sufficiently demonstrated proof of purchase.
 - ii.** The organization shall have fourteen (14) days from the stated end of sales to return the full cost of items to SGA.
 - 1.** When the return of funds is made, the organization must provide a full and complete record of all sales transactions listing the date, name of the customer, the ID number of the customer (if applicable), the amount of the sale, and any other relevant transaction details.
 - 2.** Transactions made via Venmo, Paypal, Snapcash, or any other 3rd party payment method shall not be eligible for reimbursement.
 - 3.** If the organization fails to return the full cost, a written statement as to why signed by both the President and Treasurer

of the organization must be submitted to the Director of Finance and President of SGA.

- a.** The organization's President and Treasurer must meet with the President and Director of Finance of SGA to discuss the shortfall and prevention in the future.

E. Penalties.

- i.** If the organization fails to disclose that items will be sold at the onset of the application process, the Allocations Committee and the Senate, depending on the amount of the application, may reserve the right to deny the application at the point of discovery.
 - 1.** If the omission is found to be intentional, the Chairperson of the Allocations Committee may recommend to the Senate that the organization be suspended from applying for SGA funding for up to one (1) year.
 - a.** The recommendation shall pass with a simple majority vote of the Senate.
 - ii.** If the organization fails to reimburse SGA for the cost of the items or supplies funded by SGA, the President and Director of Finance or the Chairperson may recommend to the Senate that the organization be suspended from applying for SGA funding for up to one (1) year.
 - 1.** The recommendation shall pass with a simple majority vote of the Senate.
 - iii.** If the organization is found to have intentionally misrepresented revenues from sales, they shall be suspended from applying for SGA funding for a minimum of one (1) year or up to five (5) years as recommended by the Chairperson of the Allocations Committee and voted on by the Senate.
 - 1.** The recommendation shall pass with a two-thirds ($\frac{2}{3}$) majority vote.

ARTICLE VII. THE APPEALS PROCESS

Section 1. Any denial of funding or partial funding emails sent by the Director of Finance or Committee Chair shall outline the Committee or Senate's reasoning for the decision and formal appeals process as described below.

Section 2. The individual or organization may appeal for funding after addressing the Committee's concerns as listed in the funding request response. Appeals without additional reasoning or documentation for the individual or organization's need for SGA funding or that do not follow the outlined appeals process will not be considered.

Section 3. The individual or organization may appeal only once and the appeal must be made at least one (1) week period to the event date or one (1) week from the date of the decision email for expenditures that are not part of an event.

Section 4. The individual must submit a formal request for appeal to the Chair of the Allocations Committee, with evidence that the committee's concerns have been addressed.

Section 5. Any appeals on the basis of documentation or event date shall be formally brought up in the Committee for a vote as would any other funding request. Appeals over \$1,000 shall follow the same procedure as requests of that amount.

Section 6. Any appeals regarding denial for any other reason shall be brought to an ad hoc committee within five (5) academic days consisting of three (3) Senators that do not regularly sit on the Allocations Committee, the Chair, and the Director of Finance.

Section 7. Any appeals made by a Senator shall be brought to a vote of the full Senate.

Section 8. Appeals that do not meet the one (1) week requirement due to an error on the part of an SGA member shall be exempt from Section 2.

ARTICLE VIII. REIMBURSEMENT REQUEST SUBMISSION DEADLINES

Section 1. All check requests and receipts for monies paid out are to be turned in to the SGA Director of Finance within two (2) weeks of the funded event. Failure to do so may result in the removal of funding privileges.

Section 2. If the allocation funds include the use of a contract (including for movie screenings or theater performances), speaker agreement, or other legal documents as required by university policy or procedure, this needs to be sent, along with relevant invoices, to the Director of Finance within twenty-four (24) hours of receipt. Failure to send documents may result in a delay in payment to any necessary vendors.

Section 3. Any Spring semester requests for reimbursement that are not received by the last business day prior to May 31st will not be accepted.

ARTICLE IX. REALLOCATION OF PREVIOUSLY BUDGETED AND NEW FUNDS

Section 1. Reallocation of Student Organization Funds

- A.** Should a student organization wish to utilize previously allocated funds for a related purpose to the approved event or expense, the organization must file a

request with the Committee. New events or unrelated expenses will require a new application;

- B.** Reallocation of funds is subject to Committee and/or Senate approval;
- C.** The organization shall submit a written memorandum to the Director of Finance and Committee Chair;
- D.** The Committee shall review the request and either approve or deny the request;
- E.** Requests must be submitted no later than one week before the event;
- F.** Funds allocated but not spent will be returned to the fund from which they were allocated.

Section 2. Reallocation of the SGA Budget

- A.** All financial requests to reallocate funds must be reviewed to ensure the reallocation would not violate any standing agreements and/or contracts, or university policy;
- B.** The request for reallocation must be brought before the full Senate and passed by a simple majority vote.

ARTICLE X. ACCOUNTABILITY

Section 1. If the committee fails to bring up an application within two (2) weeks of it having been submitted on Engage, the Chair shall receive two (2) absences.

Section 2. All students and student organizations who receive funding from SGA are required to abide by the applicable rules and regulations laid out by SGA, the Student Conduct Code, Chapman's Fiscal Policies, the State of California, and the Federal Government.

Section 3. Definitions

- A.** An infraction shall be defined as having violated an SGA rule or regulation, the Student Conduct Code, Chapman's Fiscal Policies, state law, local law, or federal law through the use or abuse of any portion of the SGA funding process. An intentional misrepresentation of the facts surrounding a funding request and its subsequent payment shall also constitute an infraction.

Section 4. Upon discovery of the infraction (2) the party made aware of the incident shall immediately report it to the SGA President, Director of Finance, Director of Justice, and SGA Advisor(s).

- A.** The Director of Finance will schedule a meeting between the SGA Executive Council, the Director of Justice, the SGA Advisor(s), and the party suspected of committing the infraction;

- B.** The Director of Finance shall freeze any applications or allocations that have not yet been reimbursed relating to the student(s) or student organization involved in the suspected infraction;
- C.** After the Executive Council, Director of Justice, and SGA Advisor(s) have met with the student(s), they will determine a proper course of action based on the severity of the situation. The Director of Finance will deliver a formal report on the potential financial impact on SGA to the Executive Council, the Review Board, and the Senate;
- D.** The Executive Council shall recommend the decided-upon course of action to the Senate.

Section 5. Consequences Based on the Severity of the Infraction

- A.** The party is found to have not committed an infraction;
 - i.** The Senate shall discuss the matter and vote on the Executive Council's recommendation.
 - 1.** The Senate may amend the recommendation within the aforementioned parameters.
 - 2.** The motion shall pass with a simple majority vote.
- B.** The party is found to have committed an unintentional or inadvertent infraction;
 - i.** The Executive Council may recommend to the Senate that the student or student organization be suspended for up to one (1) year from SGA funding. The Executive Council may also recommend to the Advisor(s) that the matter be brought to student conduct if applicable.
 - ii.** The Senate shall discuss the matter and vote on the Executive Council's recommendation.
 - 1.** The Senate may amend the recommendation within the aforementioned parameters.
 - 2.** The motion shall pass with a simple majority vote.
- C.** The party is found to have intentionally committed an infraction.
 - i.** The Executive Council may recommend to the Senate that the student or student organization be suspended for up to four (4) years from SGA funding. The Executive Council will automatically recommend to the Advisor(s) that the matter be brought to student conduct if applicable.
 - 1.** The Senate may amend the recommendation within the aforementioned parameters.
 - 2.** The motion shall pass with a simple majority vote.

Section 6. The Appellate Process

- A.** A party found to have committed an infraction may appeal the decision to the Director of Justice.
 - i.** The appeal must be filed within five (5) academic days of having been notified of the decision and shall consist of a written statement

clarifying any new or misrepresented information, as well as reasoning as to why the decision is being appealed.

- ii. The Review Board will convene to review the appeal and formulate a recommendation to the Senate.
- iii. The Senate shall discuss the matter and vote on the Review Board's recommendation.
 - 1. The Senate may amend the recommendation.
 - 2. The motion shall pass with a simple majority vote.

ARTICLE XI. RESERVE FUND

Section 1. At the end of each fiscal year, any unused Student Government Association funds shall be considered part of the Student Government Association Reserve Fund, hereby referred to as the "reserve fund."

- A. Any funds within the general budget which are specifically earmarked for a particular purpose by the university shall flow into a sub-account within the reserve.
 - i. Such funds shall retain the same rules, regulations, and restrictions that applied when they were a part of the general budget.
 - ii. All changes in percentage allocation within the general budget are forward-looking, and may not be applied to funds already accounted for within the reserve fund.
 - iii. If the university maintains a separate account for the funds, they must be reconciled with SGA's records on a yearly basis.

Section 2. Funds may be allocated from the reserve fund through a simple majority vote of the Senate and the approval of the President.

Section 3. Use of the reserve fund is still subject to university fiscal policy and other SGA rules governing funding.

Section 4. Senate may override the President's veto through a two-thirds ($\frac{2}{3}$) majority vote.

ARTICLE XII. AMENDMENTS

Section 1. These operating procedures may be amended in the following ways.

- A. Any member of the Committee or member of the Senate who is entitled to full participation in its activities may, at any regular meeting of this Committee or Senate, submit a proposed amendment to the Committee Chair and Director of Justice;
- B. The Senate must approve the proposed amendment no later than the next scheduled meeting of the Committee;

- C.** The Chair of the Committee must then publicize the amendment as appropriate in conjunction with the Director of Public Relations;
- D.** A two-thirds ($\frac{2}{3}$) majority vote of the Senate shall be necessary for the adoption of an amendment to these operating procedures.

Section 2. The SGA Media Guide may be amended in the following way:

- A.** Any member of the Senate who is entitled to full participation in its activities, or any member of the Executive Council may, at any regular meeting of the Senate, submit a proposed amendment to the Media Guide;
- B.** A simple majority vote of the Senate shall be necessary for the adoption of an amendment to the Media Guide.

A handwritten signature in black ink, appearing to read 'AR', enclosed within a thin black rectangular border.

**Student Government Association President
Alexis Reekie**